

# Beam dynamics for an x-ray free-electron oscillator (XFELO)



#### **Ryan Lindberg**

Accelerator Operations and Physics Group Argonne National Laboratory

Coherence in particle and photon beams: past, present, and future (Kwang-Je Kim Fest) Friday March 15, 2019

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- While SASE took off, the oscillator laid dormant for more than 20 years

[1] R.Colella and A.Luccio. "Proposal for a free electron laser in the X-ray region" Opt. Comm. 50, 41 (1984).



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  - 4. Reference to a 3D gain formula for the oscillator
  - 5. First simulations of the x-ray oscillator using 3D code genesis



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  - 6. Discussion of the basic electron beam requirements, with particular focus on low charge operation of a energy recovery linac
  - 7. Calculation of the basic stability requirements



The XFELO revival paper<sup>[2]</sup> could be used to outline my outline

- 0. Introduction to XFELO
- 1. Trap x-rays using Bragg diffraction from near-perfect sapphire or diamond crystals
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One of my first calculations  $\rightarrow$  harmonic XFELO

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Recent investigations using superconducting linacs and storage rings

7. Calculation of the basic stability requirements

Some progress + future work









High-brightness, low (10-300 A) peak current electron beam at high (~MHz) repetition rate





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- Low loss optical cavity
  - Perfect diamond crystals that reflect x-rays via Bragg diffraction [3 thick crystals with low loss, (1-R) < 5%; 1 thin crystal to allow for ~5% transmission]</li>





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  - Bow-tie shape is a wrapped-up monochromator that allows for wavelength tuning<sup>[3,4]</sup>

[3] R.M.J. Cotterill, "A universal planar x-ray resonator" Appl. Phys. Lett. 12, 403 (1968).

[4] K.-J. Kim and Y. Shvyd'ko. "Tunable optical cavity for an x-ray free-electron-laser oscillator," PRST-AB **12**, 030703 (2009).



Characteristic	SASE	XFELO
Pulse duration	1 to 200 fs	200 to 2000 fs
Photons/pulse	~10 <sup>12</sup>	~109
Energy BW	~ 10 eV	~10 <sup>-2</sup> eV
Coherence	Transverse	Fully
Repetition rate	Variable	~ MHz
Stability	1-100% depending on chosen BW	< 1%
Brightness	~10 <sup>32</sup>	~10 <sup>32</sup>

#### XFELO Science

- 1. Inelastic x-ray scattering
- 2. Nuclear resonant scattering
- 3. X-ray photo-emission spectroscopy
- 4. Hard x-ray imaging
- 5. X-ray photon correlation spectroscopy
- **6**. ???



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Example<sup>[5]</sup>Beam energy  $\gamma mc^2$ 7 GeVEnergy spread  $\sigma_{\gamma}/\gamma$  $2x10^{-4}$ Norm. emittance  $\varepsilon_n$ 0.2 mm\*mradPeak current I10 AUndulator periods  $N_u$ 3000Undulator length  $L_u$ 53 m



[5] R.R. Lindberg, K.-J. Kim, Yu. Shvyd'ko, and W.M. Fawley. "Performance of the x-ray free-electron laser oscillator with crystal cavity" PRST-AB 14, 010701 (2011).



Ch	aracteristic		SASE		XFELO		
Pul	se duration		1 to 200 fs		200 to 2000 fs		1.
Ph	otons/pulse		~10 <sup>12</sup>		~1	0 <sup>9</sup>	2.
E	nergy BW		~ 10 eV		~10 <sup>-2</sup> eV		3.
С	oherence		Transverse	!	Fully		
Re	petition rate		Variable		~ M	lHz	4.
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В	Brightness		~10 <sup>32</sup>		~1		6.
	E	xamp	le <sup>[5]</sup>		N – 10	NI –	20
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	Energy spread o	, γ/γ	2x10 <sup>-4</sup>	(>		ŝ	í s
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From K.-J. Kim, Y. Shvyd'ko, and S. Reiche, PRL 100, 244802 (2008):

The temporal structure of the mode can be studied by adapting the supermode analysis  $\begin{bmatrix} 6, 7 \end{bmatrix}$  to the case of a narrow cavity bandwidth, describing the spectral narrowing during the exponential growth.

[6] G. Dattoli, G. Marino, A. Renieri, and F. Romanelli. "Progress in the Hamiltonian picture of the FEL", *IEEE J. Quantum Electron.* 17, 1371 (1981).
 [7] P. Elleaume. "Microtemporal and spectral structure of storage ring free-electron lasers", *IEEE J. Quantum Electron.* 21, 1012 (1985).



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The temporal structure of the mode can be studied by adapting the supermode analysis [6, 7] to the case of a narrow cavity bandwidth, describing the spectral narrowing during the exponential growth.

- Gain approximately follows electron beam current:  $G(t) \approx Ge^{-t^2/2\sigma_e^2} \approx G(1 t^2/2\sigma_e^2)$
- The change of the radiation field *E*(*t*,*n*) on pass *n* is approximately described by

$$\frac{\partial}{\partial n}E(t,n) = E(t,n) + \frac{G}{2}\left(1 - \frac{t^2}{2\sigma_e^2}\right)E(t,n) - \left(\frac{R}{2} - \frac{1}{\sigma_\omega^2}\frac{\partial^2}{\partial t^2}\right)E(t,n) + \ell\frac{\partial}{\partial t}E(t,n)$$

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Gain is reduced when electron beam duration  $\sigma_e$  approaches the inverse bandwidth of crystal  $1/\sigma_\omega$ 

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From K.-J. Kim, Y. Shvyd'ko, and S. Reiche, PRL 100, 244802 (2008):

The temporal structure of the mode can be studied by adapting the supermode analysis [24,25] to the case of a narrow cavity bandwidth, describing the spectral narrowing during the exponential growth.

We decompose the growing field using the Hermite basis functions<sup>[8]</sup>, whose growth we can compare to the supermode theory:

$$\Lambda_m = \frac{1}{2} \left[ G - R - \frac{\sqrt{G}}{\sigma_e \sigma_\omega} (2m+1) \right]$$

[8] R.R. Lindberg and K.-J. Kim "Mode growth and competition in the x-ray free-electron laser oscillator start-up from noise," PRST-AB 12, 070702 (2009).



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We assume that the FEL mode in the cavity is Gaussian with the waist at the center of the undulator. For the electron beam, we assume that focusing is absent, the distribution is Gaussian, and the envelope parameter at the waist  $\beta^*$  is the same as the Rayleigh length  $Z_R$  of the FEL mode. The gain formula in Ref. [9] can then be greatly simplified.

[9] K.-J. Kim. "FEL gain taking into account diffraction and electron beam emittance; generalized Madey's theorem", NIMA 318, 489 (1992).



Gain can be written as a convolution over the initial brightness functions<sup>[9]</sup>



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$$G = \frac{I}{I_A} \frac{\pi h K^2 [\mathrm{JJ}]_h^2 L_u^3}{\gamma^3 \lambda_u \Sigma_x^2} \int_{-1/2}^{1/2} ds dz \ e^{-2[2\pi N_u(z-s)h\sigma_\gamma/\gamma]^2} \frac{(z-s)\left\{\sin[2x(z-s)] - i\cos[2x(z-s)]\right\}}{1 + zs\frac{L_u^2 \Sigma_\phi^2}{\Sigma_x^2} - i(z-s)\left[kL_u \Sigma_\phi^2 + \frac{L_u}{4k\Sigma_x^2}\right]}$$

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- For fixed e-beam parameters, the gain at a harmonic can be larger than that at the fundamental if the energy spread is small enough,  $h\sigma_{\gamma}/\gamma < 1/2\pi N_u$
- Hence, an XFELO is possible for with less linac and lower energy electron beams<sup>[11]</sup>

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- For example, one can reach 14.4 keV photons with the 4 GeV LCLS-II beam<sup>[12]</sup>



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# Reducing energy spread requirement with a transverse gradient undulator

- Gain suffers when the energy spread becomes larger than the FEL bandwidth,  $\sigma_{\gamma}/\gamma > 1/3N_u$
- The energy spread effect can be compensated if we both
  - 1. Use a transverse gradient undulator (TGU) to vary the FEL resonance with position<sup>[13,14]</sup>
  - 2. Introduce carefully matched dispersion to correlate the energy with position



[13] T.I. Smith, J.M.J. Madey, L.R. Elias, and D.A.G. Deacon, "Reducing sensitivity of a free-electron laser to electron energy", J. App. Phys. 50, 4580 (1979).
 [14] Z. Huang, Y. Ding, and C.B. Schroeder, "Compact XFEL from a laser-plasma accelerator using a transverse-gradient undulator" PRL 109, 204801 (2012).



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  - 2. Introduce carefully matched dispersion to correlate the energy with position

$$\lambda_{1} = \lambda_{u} \frac{1 + \frac{1}{2}K(x_{j})^{2}}{2\gamma_{j}^{2}} \approx \lambda_{u} \frac{1 + \frac{1}{2}K_{0}^{2} + K_{0}\frac{\partial K}{\partial x}x_{j}}{2\gamma_{0}(1 + 2\eta_{j})} \approx \lambda_{u} \frac{1 + \frac{1}{2}K_{0}^{2}}{2\gamma_{0}^{2}} \left[ 1 + \frac{K_{0}\frac{\partial K}{\partial x}}{1 + K_{0}^{2}/2}x_{j} - 2\eta_{j} \right]$$
Introduce dispersion such that  $x_{j} \rightarrow D\eta_{j}$ 

$$\rightarrow \lambda_{u} \frac{1 + \frac{1}{2}K_{0}^{2}}{2\gamma_{0}^{2}} \left[ 1 + \left( \frac{K_{0}\frac{\partial K}{\partial x}}{2 + K_{0}^{2}}D - 1 \right) 2\eta_{j} \right]$$

$$\lambda_{u} \frac{d\gamma}{dx} > 0$$

[13] T.I. Smith, J.M.J. Madey, L.R. Elias, and D.A.G. Deacon, "Reducing sensitivity of a free-electron laser to electron energy", J. App. Phys. **50**, 4580 (1979). [14] Z. Huang, Y. Ding, and C.B. Schroeder, "Compact XFEL from a laser-plasma accelerator using a transverse-gradient undulator" PRL **109**, 204801 (2012).



# Reducing energy spread requirement with a transverse gradient undulator

- Gain suffers when the energy spread becomes larger than the FEL bandwidth,  $\sigma_{\gamma}/\gamma > 1/3N_u$
- The energy spread effect can be compensated if we both
  - 1. Use a transverse gradient undulator (TGU) to vary the FEL resonance with position<sup>[13,14]</sup>
  - 2. Introduce carefully matched dispersion to correlate the energy with position



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#### TGU-enabled XFELO in an "ultimate" storage ring<sup>[15]</sup>

Gain is maximized by choosing the dispersion/gradient to balance two competing effects:



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Requirements on longitudinal tolerances given by supermode theory

Jitter in arrival time of electron & photon beams

<< 1/(crystal bandwidth)

Note that (bunch length)  $\leq 1/(crystal bandwidth)$ 



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 Requirements on transverse tolerances of optical components can be found using matrix-type formalism familiar to accelerator physics + requirement to preserve mode overlap



Requiring that  $\Delta X < [0.1(FEL mode size) ~1 \mu m]$  implies that  $\Delta \Phi < 20$  nrad.

Requiring that  $\Delta \Theta < [0.1(mode divergence) ~0.1 \mu rad]$  gives a less stringent requirement.



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- Variations over a long time-scale (> ms) can be controlled with feedback
- Variations over "medium" time-scales require further study
  - Subject of a current grant from DOE/BES



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- Ultimate stability should scale as the (spontaneous power in narrow BW)/(saturation power)



# **XFELO proof of principle at LCLS-II**

- SLAC has proposed a regenerative amplifier FEL (RAFEL)<sup>[16]</sup> at LCLS-II<sup>[17]</sup>
  - RAFEL = high-gain oscillator with potentially large losses
  - Route to TW pulses if combined with Q-switching (sudden release of trapped cavity power via, e.g., pulse heating and lattice distortion of Bragg crystal)
- We are teaming up with the RAFEL team to propose an RA/XFEL(O) experiment at LCLS

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 [17] G. Marcus, Y. Ding, J. Duris, Y. Feng, Z. Huang, J. Krzywinski, T. Maxwell, D. Ratner, T. Raubenheimer, K.-J. Kim, R. Lindberg, Y. Shvyd'ko, D. Nguyen, "X-ray regenerative amplifier free-electron laser concepts for LCLS-II," Proc. FEL2017, pp. 192.



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- XFELO goals are to show sufficient cavity stability to show gain in a two-bunch configuration



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# Acknowledgments

- Kwang-Je Kim
- Lahsen Assoufid
- Xianbo Shi
- Deming Shu
- Yuri Shvyd'ko
- Students
  - Lipi Gupta
  - Yuan Shen Li
  - Gunn-Tae Park
  - Weilun Qin

- Yuantao Ding
- Bill Fawley
- Zhirong Huang
- Gabe Marcus
- Tim Maxwell
- Tor Raubenheiner
- Johann Zemella

#### **Thanks for your attention!**

